Confirmation No. 8043

PTO/SB/21 (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/743,470 Filing Date TRANSMITTAL December 23, 2003 First Named Inventor FORM Dasseux, et al Art Unit 1614 Examiner Name Zucker, Paul A. or all correspondence after initial filing) Attorney Docket Number PC 20612C (10173-034-999) Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer below): Extension of Time Request Copies of Related Art Request for Refund Express Abandonment Request Information Disclosure Statement (37 CD, Number of CD(s) C.F.R. 1.97(b)) Information Disclosure Statement Return Postcard Landscape Table on CD Certified Copy of Priority Remarks Document(s) Authorization to charge the fee and any additional fees as necessary or credit any overpayment to deposit account 23-0455 is hereby given. Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature Printed name William R. Boudreaux Date Reg. No. 35,796 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Cynthia D. Bernges Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Application No

10/743,470

Confirmation No. 8143

Applicant

Dasseux, et al.

Filed

December 23, 2003

TC/A.U.

1614

Examiner

Zucker, Paul A.

Docket No.

PC 20612C(10173-034-999)

Customer No.

28880

Title

"Cycloalkyl-Hydroxyl Compounds and Compositions for

Cholesterol Management and Related Uses"

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Forms PTO/SB/08 are brought to the attention of the Examiner.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. §1.53(d); within three months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; before the mailing of the first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form PTO/SB/08 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Applicants also point out the following pending applications that arise out of the same patent family:

US Serial Number: 10/743,109 filed on December 23, 2003, and

US Serial Number: 10/743,470 filed on December 23, 2003.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Forms PTO/SB/08, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge the required fee under 37 C.F.R. §1.17(p), any other necessary filing fees and any additional fees or credit any overpayment to Deposit Account 23-0455.

Respectfully submitted,

Dated: Migu

William R. Boudreaux, 35,796

Warner-Lambert Company

2800 Plymouth Road

Ann Arbor, MI 48105

Telephone: (734) 622-1363

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Sheet

Confirmation No. 8043

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

	Complete if Known				
	Application Number	10/743,470			
Filing Date		December 23, 2003			
	First Named Inventor	Dasseux, et al			
	Art Unit	1614			
	Examiner Name	Zucker, Paul A.			
	Attorney Docket Number	PC 20612C (10173-034-999)			

	-		U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	-	Number-Kind Code ^{2 (I known)}			rigures Appear
	ļ	^{US-} 3,930,024A	12/30/1975	Creger, Paul L	
-		US-4,287,200A	9/1/1981	Kawamatsu, Yitala. et al	
		US- 5,756, 544A	5/26/1998	Greger, Paul L, et al	
		^{US-} 2004-0214777A1	12/23/2003	Dasseux, et al	
		US- 2004-0209847A1	10/21/04	Dasseux, et al	
		US-3,152,148A	10/1964	Easterly, et al	
		US-3,773,946A	11/1973	Creger	
		US- 3,930,024A	12/1975	Creger	
		US-4,287,200A	9/1981	Kawamatsu, et al	
		US-4,584,321A	4/1996	Manghisi, et al	
		US-4,613,593A	9/1986	Yamatsu, et al	
		^{US-} 4,634,719A	1/1987	Takaishi, et al	
		^{US-} 4,896,344A	8/1987	Bar-Tana, Jacob	
		US-4,711,896A	12/1987	Bar-Tana, et al	
		US- 4,714,762A	12/1987	Hoefle, et al	
		US- 5,166,174A	11/1992	Ueno, et al	
		^{US-} 5,225,439A	7/1993	Ueno, et al	
		^{US-} 5,284,858A	2/1994	Ueno, et al	
		US- 5,380,709A	1/1995	Ueno, et al	

		FOREIGN	PATENT DOC	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	''	Or Relevant Figures Appear	T ⁶
		FR 1 545 224A	11/8/1968	Geigy AG J R		
		GB 1196594 English Equivalent to FR 1545 224	7/1/1970	Howell		
		GB 1196595 English Equivalent to FR 1545 224	7/1/1970	Howell		\square
		GB 1196596 English Equivalent to FR 1545 224	7/1/1970	Howell		
		GB 1196597 English Equivalent to FR 1545 224	7/1/1970	Howell		
		GB 1196598 English Equivalent to FR 1545 224	7/1/1970	Howell		

Examiner	Date	
Signature	Considered	

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Complete if Known Substitute for form 1449/PTO **Application Number** 10/743,470 Filing Date December 23, 2003 INFORMATION DISCLOSURE First Named Inventor Dasseux, et al STATEMENT BY APPLICANT Art Unit 1614 (Use as many sheets as necessary) Examiner Name Zucker, Paul A. Attorney Docket Number PC 20612C (10173-034-999)

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,428,062A	6/1995	Ueno, et al	
		US- 5,648,387A	7/1997	Bisgaier, et al	
		US- 5,750,569A	5/1998	Bisgaier, et al	
		US- 5,756,344A	5/1998	Onda, et al	
		^{US-} 5,756,544A	5/1998	Bisgaier, et al	
		US- 5,783,600A	7/1998	Bisgaier, et al	
		US- 5,834,596A	11/1998	Ageland, et al	
		US- 5,886,034A	4/1999	Ueno, et al	
		^{US-} 6,004,925A	12/1999	Dasseux, et al	
		US- 6,037,323 A	4/2000	Dasseux	
		US- 6,459,003	10/2002	Dasseux, et al	
		^{US-} 6,699,910	4/2/2004	Dasseux, et al	
		US-6,646,170	11/11/2003	Dasseux, et al	
		US- 6,713,507	4/30/2002	Dasseux, et al	
		US- 6,673,780	1/6/2004	Dasseux, et al	
		US- 6,703,422	4/9/2004	Dasseux, et al	
		US-3,441.605	4/1969	Blake et al	
		^{US-} 3,773,946	11/1973	Creger	
		US- 3,930,024	12/1975	Creger	

Examiner Initials*	Cite No.1	ite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
midais	110.	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Offed Document	Or Relevant Figures Appear	Т
	, .	WO 98/30530A	7/16/1998	Bar-Tana, Jacob		
		EP 0 284 108	9/28/87	McFetridge		
		WO 96/30328	7/16/98	Baluais		
		WO 99/00116	1/7/99	Bar-Tana, Jacob		
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Examiner	Date	
Signature	Considered	

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Subst	itute for form 1449/PTO		Complete if Known			
			Application Number	110/743,470		
INI	EODMATION I	DISCLOSURE	Filing Date	December 23, 2003		
		-	First Named Inventor	Dasseux, et al		
ST		/ APPLICANT	Art Unit	1614		
(Use as many sheets as necessary)			Examiner Name	Zucker, Paul A.		
Sheet	3 0	f 18	Attorney Docket Number	PC20612C (10173-034-999)		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.1	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US- 4,287,200	9/1981	Kawamatsu et al		
		^{US-} 4,584,321	4/1986	Manghisi et al		
		US- 4,613,593	9/1986	Yamatsu et al		
		US- 4,634,719	1/1987	Takaishi et al		
		US- 4,639,344	8/1987	Bar-Tana et al		
		^{US-} 4,711,896	12/1987	Bar-Tana et al		
		US- 5,502,198	3/1996	Picard et al		
		US- 5,504,073	4/1996	Homan		
		US- 5,578,639	11/1996	Homan		
		US- 5,633,287	5/1997	Lee,et al		
		US- 5,648,387	7/1997	Bisgaier et al		
		US- 5,570,569	5/1998	Bisgaier, et al		
		^{US-} 5,968,963	10/1999	Homan		
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		^{US-} 6,017,905	1/2000	Roark et al		
•		US- 6,093,719	7/2000	Bocan		
		US- 6,093,744	7/2000	Lee, et al		
		US- 6,124,309	9/2000	Bocan		
		US- 6,143,755	11/2000	Bocan		

·		FOREI	GN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Substitute for form 1449/PTO		Complete if Known			
Cubomu	10 10 10 11 17 10 17 10			Application Number	10/743,470
INFO	DRMATION	DIS	CLOSURE	Filing Date	December 23, 2003
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Dasseux, et al
	/lico se many cho	ate ae n	neneesan)	Art Unit	1614
(Use as many sheets as necessary)				Examiner Name	Zucker, Paul A.
Sheet	4	of	18	Attorney Docket Number	PC 20612C (10173-034-999)

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Examiner Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BICKING, et al., "11,12-Secoprostaglandins. 1. Acylhydroxyalkanoic acids and related compounds", J. Med. Chem., 1977, pp. 35-43, vol. 20	
		NAGANO H, et al., "Stereoselectivity in the formation and radical reduction of cyclic bromoacetals, key intermediates for the sythesis of delta-hydroxy-and epsilon-hydroxy-alpha-methylcarboxylic acid esters", Tetrahedron Letters, 2003, pp. 6867-6870, vol. 44, no. 36	
		BOBROVA, et al., abstract, J. Org. Chem, 1983, pp. 259-261, vol. 19	
		NARASAKA, et al., abstract, Bull. Chem. Soc, 1987, pp. 1457-1464, vol. 60, no. 4	
		RIEKE, et al., abstract, J. Org. Chem, 1996, pp. 2726-2730, vol. 61. no. 8	
		PECHMANN, abstract, Chem. Ber., 1904, page 3819, vol. 37	
		LARDELLI, et al., abstract, Recl. Trav. Chim., 1967, pp. 481-503, vol. 86	
-		CRISAN, abstract, Ann. Chim., 1956, pp. 436-459, vol. 13, no. 1	
		BLATT, et al., The reducing action of the Grignard reagent and the synthesis of tertiary aliphatic carbinols", J. Org. Chem., 1932, pp. 1495-1499, vol. 54	
		BROWN, et al., "Hydroboration. 67. Cyclic hydroboration of acyclic alpha, omega-dienes with 9-Borabicyclo '3.3.1 !nonane/borane-dimethyl sulfide", J. Org. Chem., pp. 1072-1078, vol. 49, no. 6	

Examiner	Date	
Signature	Considered	

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¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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Attorney Docket Number

18

of

Sheet

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		WEBER, et al., abstract, J. Med. Chem., 1992, pp. 3755-3773, vol. 35, no. 21	
		YAMAMOTO, "Asymmetric synthesis of 5-and 6-memebered lactones from cyclic substrates bearing a c2-chiral auziliary", J. Org. Chem., 1991, pp. 1112-1119, vol. 35, no. 21	
		OOI T, et al., abstract, Angewandte Chemie., 2001, vol. 40, no. 19	
		ENGLISH, J. Am. Chem. Soc., 1941, pg. 942, vol. 63	
		GLEITER, et al., Synthesis of 5,510,10-tetramethyl-1-oxacyclotridecane-6,7,8,9-tetrone-on the mechanism of the Rubottom reaction, 1995, (9), pp. 1655-1661	
		GLEITER, et al., Synthesis and properties of 4,4,9,9-tetramethyl-1-oxa-cycloundecane-5,6,7,8-tetrone and 9-tetramethyl-1-oxa-cyclotridecane-6,7,8,9-tetrone, 1996, 2(3), pp. 271-277	
		MOMENTEAU, et al., abstract, J. Chem Soc. Perkin Trans. 1985, pgs 221-232	
		COREY et al., 1967, "A useful method for conversion of alcohols into iodides", J. Org. Chem, 32: 4160-4161	
		TARAVEL et al, 1988, "Interglycosidic sup.13 C- sup 1 H Coupling Constants" Tetrahedeon Lett, 29:199-200	
		VAMECQ AND DRAYE, 1989, "Pathophysiology of peroxisomal beta-oxidation", Essays Biochem, 24:115-225	

Examiner		Date	
Signature	•	Considered	

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				Application Number	10/743,470	
INFO	PRMATION	DIS	CLOSURE	Filing Date	December 23, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Dasseux, et al	
	(Use as many she	ate ae n	accessed.	Art Unit	1614	
	(Use as many sne	reus as 110	cossary)	Examiner Name	Zucker, Paul A.	
Sheet	6	of	18	Attorney Docket Number	PC 20612C (10173-034-999)	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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STATEMENT BY APPLICANT				First Named Inventor	Dasseux, et al	
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Sheet	7	of	18	Attorney Docket Number	PC 20612C (10173-034-999)	

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		BECKER et al., 1982, "Intramolecular photoaddition of terminal allenes to conjugated cyclohexenones", J. Org. Chem. 47:3297-3310	
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Sheet	9	of	18	Attorney Docket Number	PC 20612C (10173-034-999)

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Sheet	13	of	18	Attorney Docket Number	PC 20612C (10173-034-999)

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		MADDAFORD et al., 1993, "A general asymmetric synthesis of (-)alphaDimethylretrodendrin and its diastereomers", J. Org. Chem 58:4132-38.	
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INFO	ORMATION	N DIS	CLOSURE	Filing Date	December 23, 2003
STA	TATEMENT BY APPLICAN	PPLICANT	First Named Inventor	Dasseux, et al	
	(Use as many sh			Art Unit	1614
	(Use as many sn	eets as m	ecessary)	Examiner Name	Zucker, Paul A.
Sheet	14	of	18	Attorney Docket Number	PC 20612C (10173-034-999)

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		NYSTROM et al., 1949, "Lithium borohydride as a reducing agent", J. Am. Chem. 71:3245-47.	
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				Application Number	10/743,470
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				Art Unit	1614
	Use as many sne	ets as m	scessary)	Examiner Name	Zucker, Paul A.
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		RAUNIO et al., 1957, "Addition of Propargyl Acetal to Cyclohexanone in the Presence of Sodamide", J. Org. Chem 22:570.	
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Sheet	16	of	18	Attorney Docket Number	PC 20612C (10173-034-999)	

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